

The Healthcare Cost and Utilization Project (HCUP)

Overview of the HCUP Databases

Agency for Healthcare Research and Quality Webinar ♦ September 16, 2015





- Project Overview
- HCUP Partners
- The Making of HCUP Data
- HCUP State Databases
- HCUP Nationwide Databases
- How to Obtain HCUP Data & Access HCUP Resources



AHR Research Using HCUP Data



| Costs of care | Septicemia was the most expensive reason for hospitalization in 2012—totaling over \$20 billion in aggregate hospital costs (NIS) | |
|----------------------|--|--|
| Access to care | Americans in low-income areas visit EDs at rates 90 percent higher compared to those in the highest income areas (NEDS) | |
| Quality of care | Among women who delivered by cesarean section and were covered by Medicaid, 94.6 percent of discharges included a complicating condition (NIS) | |
| Readmissions | For CHF, schizophrenia, and renal failure, at least 1 in 5 patients were readmitted within 30 days (SID with readmissions link) | |
| Patient Safety | In 2011, the four most frequent causes of adverse drug events (ADEs) originating in the hospital were steroids, antibiotics, opiates and narcotics, and anticoagulants (SID) | |
| Geographic variation | ED visits were higher in counties with fewer primary care MDs per capita (SEDD) | |



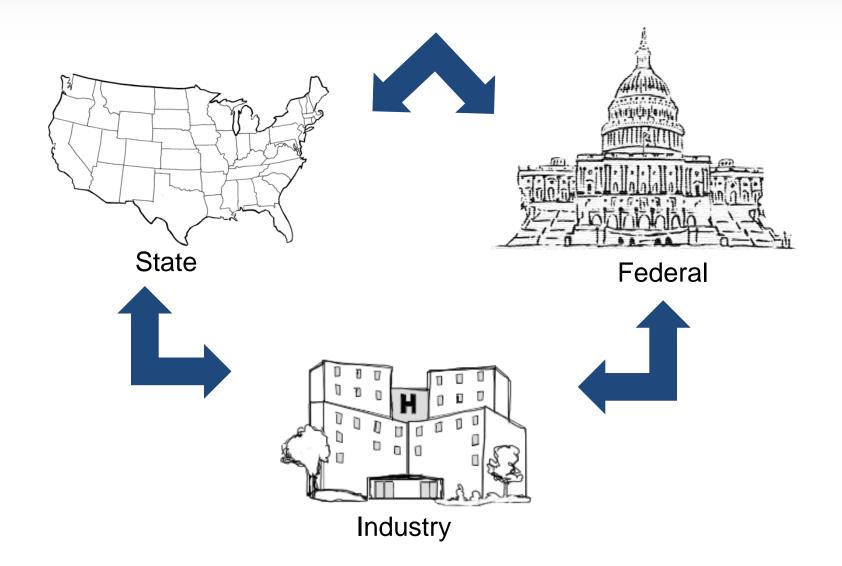


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AHR The HCUP Partnership



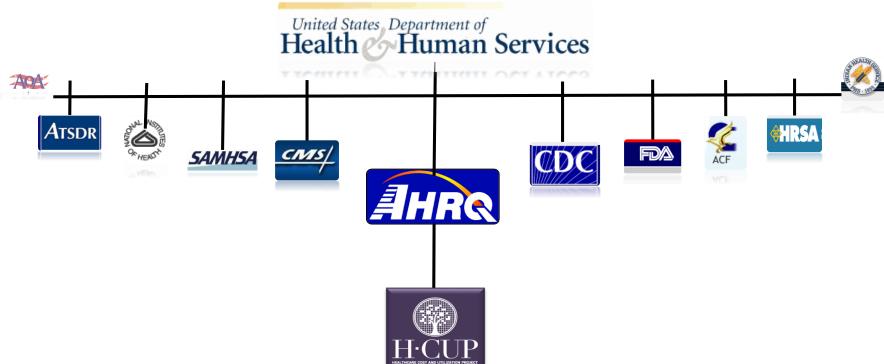




What is the Agency for Healthcare **AHR** Research and Quality (AHRQ)?



The Agency for Healthcare Research and Quality (AHRQ) is a federal agency under the Department of Health and Human Services.





AHR Current HCUP Data Partners



Alaska State Hospital and Nursing Home Association

Arizona Department of Health Services

Arkansas Department of Health

California Office of Statewide Health Planning & Development

Colorado Hospital Association

Connecticut Hospital Association

District of Columbia Hospital Association

Florida Agency for Health Care Administration

Georgia Hospital Association

Hawaii Health Information Corporation

Illinois Department of Public Health

Indiana Hospital Association

Iowa Hospital Association



AHR Current HCUP Data Partners



Kansas Hospital Association

Kentucky Cabinet for Health and Family Services

Louisiana Department of Health and Hospitals

Maine Health Data Organization

Maryland Health Services Cost Review Commission

Massachusetts Center for Health Information and Analysis

Michigan Health & Hospital Association

Minnesota Hospital Association

Mississippi Department of Health

Missouri Hospital Industry Data Institute

Montana MHA – An Association of Montana Health Care Providers

Nebraska Hospital Association



AHRIC Current HCUP Data Partners



Nevada Department of Health and Human Services

New Hampshire Department of Health & Human Services

New Jersey Department of Health

New Mexico Department of Health

New York State Department of Health

North Carolina Department of Health and Human Services

North Dakota Minnesota Hospital Association

Ohio Hospital Association

Oklahoma State Department of Health

Oregon Association of Hospitals and Health Systems, Office of Health Analytics

Pennsylvania Health Care Cost Containment Council



AHR Current HCUP Data Partners



Rhode Island Department of Health

South Carolina Revenue and Fiscals Affairs Office

South Dakota Association of Health Care Organizations

Tennessee Hospital Association

Texas Department of State Health Services

Utah Department of Health

Vermont Association of Hospitals and Health Systems

Virginia Health Information

Washington State Department of Health

West Virginia Health Care Authority

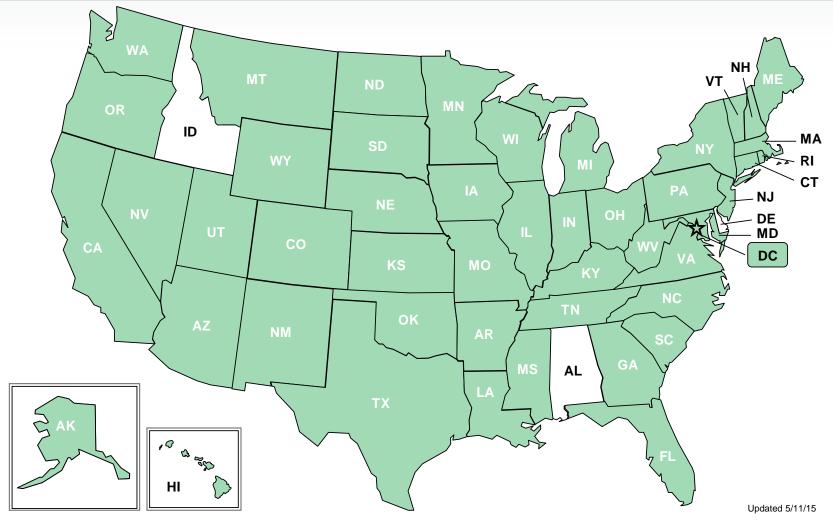
Wisconsin Department of Health Services

Wyoming Hospital Association

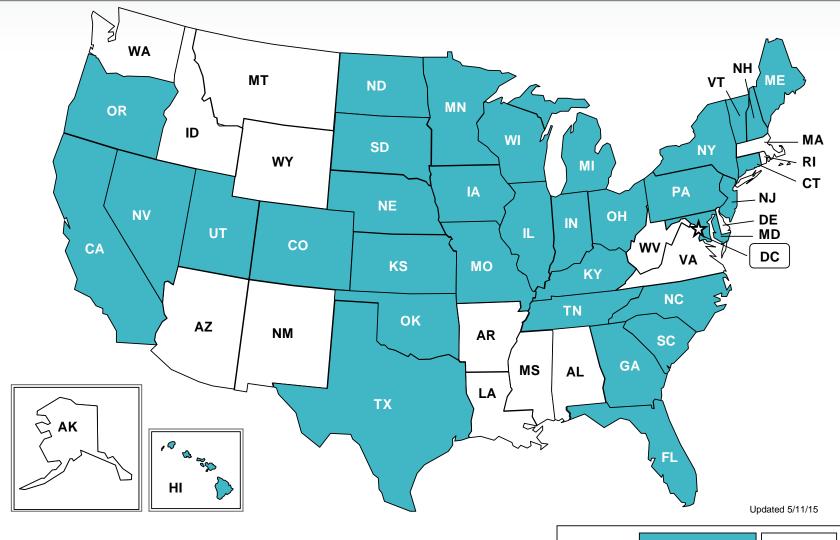


HCUP Partners Providing AHR Inpatient Data





HCUP Partners Providing Ambulator Surgery & Services Data Healthcase Cost and Utilization Providing Healthcase Co





HCUP Partners Providing Emergency Department Data



Emergency

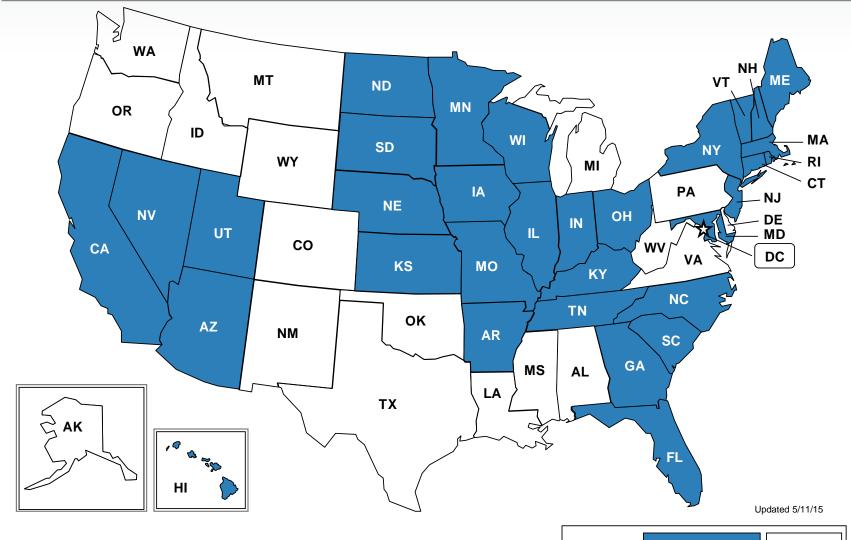
Department Data

Partners

Providing:

Non-

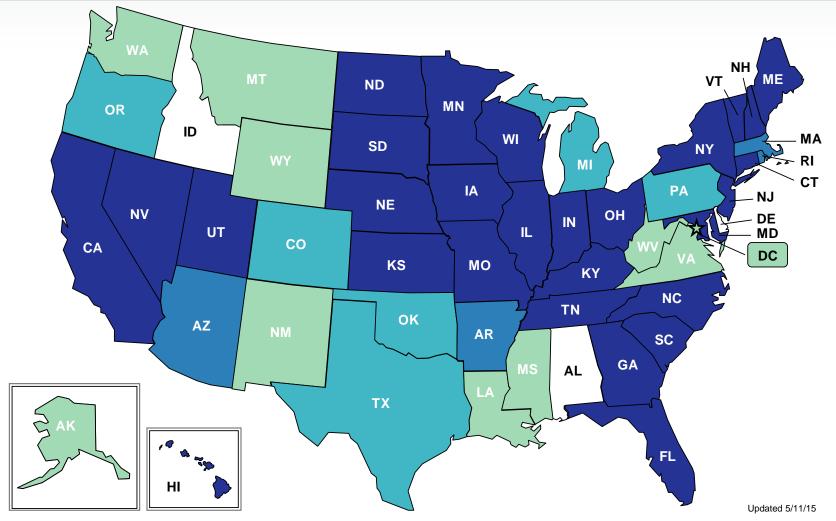
participating





HCUP Participation by Data Type









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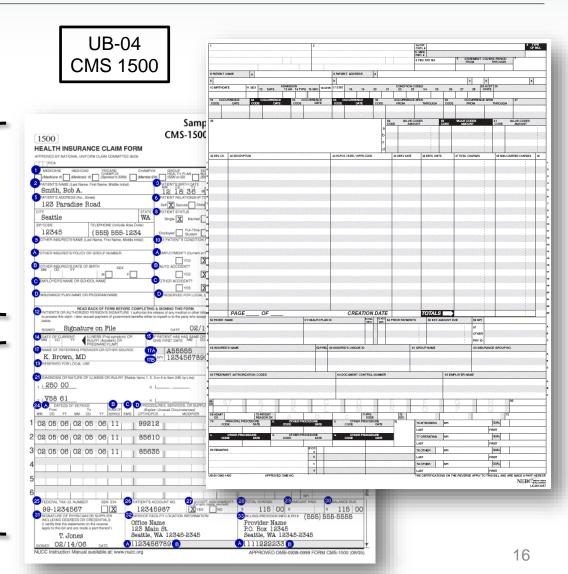


The Foundation of HCUP Data is Hospital Billing Data



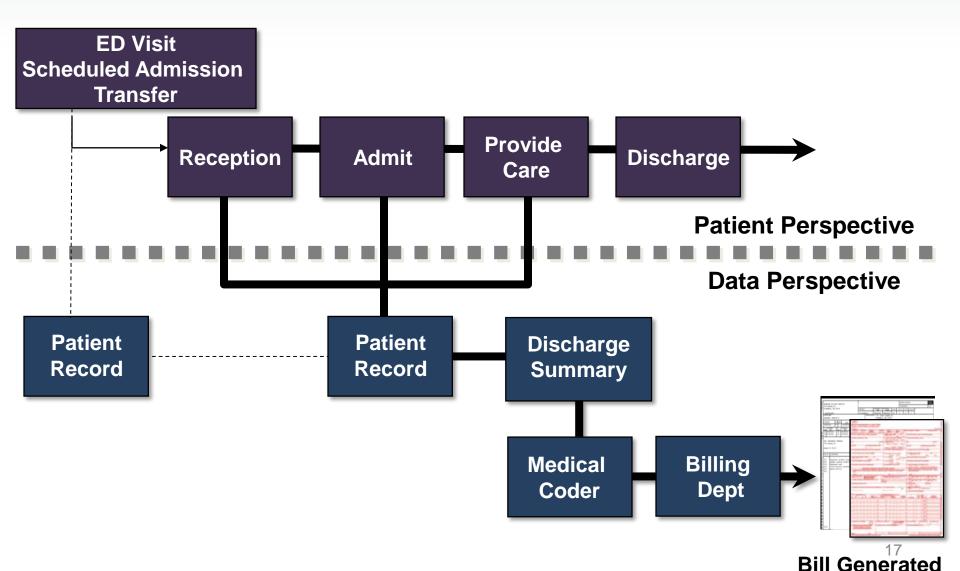


Diagnoses Procedures Charges











AHR The Making of HCUP Data





Patient enters hospital





AHRQ standardizes data to create uniform HCUP databases

States store data in varying formats

Hospital sends billing data and any additional data elements to data organizations





- State data is mapped to a standardized HCUP format which allows for consistent data elements and values for comparison across States
- Additional data elements are added:
 - Value-added variables injury indicators, chronic condition indicators, procedure class
 - Hospital characteristics teaching status, ownership/control, bed size
 - Diagnostic related groups and severity measures
 - AHRQ's Clinical Classifications Software (CCS)
 - o 3M's All Patient Refined DRGs (APR-DRGs)
- Quality checks are performed

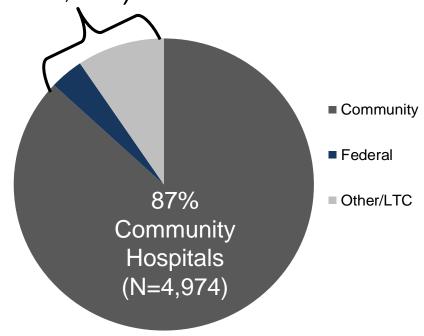


AHR Hospitals in the U.S.



87% of hospitals in the U.S. are community hospitals

13% Non-community hospitals (Federal (DOD/VA/IHS), psychiatric, non-federal long term care, etc.)







American Hospital Association Definition:

Non-Federal, short-term, general, and other specialty hospitals, excluding hospital units of other institutions (e.g., prisons)

| Included | Excluded | | |
|-----------------------------------|--------------------------------|--|--|
| Multi-specialty general hospitals | Long-term care | | |
| OB-GYN | Psychiatric | | |
| ENT | Alcoholism/Chemical dependency | | |
| Orthopedic | Rehabilitation | | |
| Pediatric | DoD / VA / IHS | | |
| Public | | | |
| Academic medical centers | | | |



What are Community Hospitals?



- HCUP generally does not receive data from non-community hospitals.
- However, if a patient is treated in a community hospital, their information is included.

| Most Frequent Principal Diagnosis | Number of Discharges (thousands) | |
|-----------------------------------|----------------------------------|--|
| 1. Newborns | 3,795 | |
| 2. Septicemia | 1,133 | |
| 3. Pneumonia | 1,007 | |
| 4. Osteoarthritis | 968 | |
| 5. Congestive Heart Failure | 876 | |
| Mood disorders | 847 | |
| 7. Cardiac dysrhythmias | 749 | |



HCUP Has 6 Types of Databases



Three state-level databases



State
Inpatient
Databases
(SID)



State
Ambulatory
Surgery &
Services
Databases





State
Emergency
Department
Databases

(SEDD)

Three nationwide databases



National (Nationwide) Inpatient Sample

(NIS)



Nationwide Emergency Department Sample

(NEDS)



Kids'
Inpatient
Database
(KID)





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AHRIC HCUP State Databases



State Inpatient Databases

(SID)

All inpatient hospital discharge data (including those admissions that started in the ED) from participating HCUP States

State Ambulatory
Surgery & Services Databases

(SASD)

Ambulatory surgery data (hospital based and some freestanding) and other outpatient services from participating HCUP States

State Emergency Department

Databases

(SEDD)

Emergency department data (treat and release) from participating HCUP States



What Data Elements are included in the HCUP databases?

H-CT HEALTHCARE COST AND UTILIZATE

Data Elements:

- Patient demographics (age, sex)
- Diagnoses & procedures
- Expected payer
- Length of stay
- Patient disposition
- Admission type
- Point of origin
- Admission month
- Weekend admission





Some Data Elements Vary by State



- Race/Ethnicity
- Patient county
- Patient ZIP Code
- Severity of illness
- Birthweight
- Procedure date (days from admission)
- Health plan details
- Additional expected payers
- Detailed charges
- Patient identifiers encrypted

- Physician identifiers encrypted
- Physician specialty
- Hospital identifier unencrypted





Example: Payer Detail Varies by



| PAY1_X | | PAY1 (Standardized) | |
|------------------|---|---------------------|-------------------|
| Value | Description | Value | Description |
| 010 | Medicare | | |
| 011 | Medicare (HMO) | 4 | Medicare |
| 012 | Medicare (Managed care - Other) | 1 Medic | iviedicare |
| 013 | Medicare (fee for service) | | |
| 020 | Medi-Cal | 2 | Ma di Oal |
| 021 | Medi-Cal (HMO) | | |
| 022 | Medi-Cal (Managed care - Other) | | Medi-Cal |
| 023 | Medi-Cal (fee for service) | | |
| 030 | Private Coverage | 3 Private insurance | |
| 031 | Private Coverage (HMO) | | |
| 032 | Private Coverage (Managed care - Other) | | Private insurance |
| 033 | Private Coverage (fee for service) | | |
| 08n, where n=0-3 | Self-pay | 4 | Self-pay |
| | | 5 | No charge |



Example: Race Detail Varies by State



| RACE_X | | RACE (Standardized) | |
|--------|------------------------|---------------------|---------------------------|
| Value | Description | Value | Description |
| 1 | White | 1 | White |
| 2 | Black | 2 | Black |
| 3 | Hispanic | 3 | Hispanic |
| 4 | Hawaiian | | |
| 5 | Chinese | | |
| 6 | Filipino | 4 Asian or Pacifi | Asian or Pacific Islander |
| 7 | Japanese | | Asian of Pacific Islander |
| 8 | Other Asian | | |
| 9 | Other Pacific Islander | | |
| 10 | Native American | 5 | Native American |
| 11 | Mixed or Other | 6 | Other |



Partner State Files vs. HCUP State Files



 HCUP State Files vs. Data Files received directly from the State

| HCUP State Files | Partner State Files | |
|-----------------------------------|--|--|
| Subset of data elements | All data elements | |
| Value-added data elements | May not have same value-added elements | |
| Uniformly coded across the States | Not uniformly coded across states | |
| Standard data quality checks | Variability in quality checks by state | |
| Lag time | More timely | |



States Releasing Databases through HCUP Central Distributor H.



- Arizona
- Arkansas
- California
- Colorado
- Florida
- Hawaii
- lowa
- Kentucky
- Maine
- Maryland
- Massachusetts
- Michigan

- Mississippi
- Nebraska
- Nevada
- New Jersey
- **New Mexico**
- New York
- North Carolina
- Oregon
- Rhode Island
- South Carolina
- South Dakota

- Utah
- Vermont
- Washington
- West Virginia
- Wisconsin

Remember:

Not all states participate in all years and for all databases





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AHRIC HCUP Nationwide Databases



National (Nationwide)
Inpatient Sample

(NIS)

Inpatient discharge data for a sample of discharges from all hospitals in SID

Kids' Inpatient Database

(KID)

Pediatric inpatient hospital discharge data from a **sample of pediatric discharges** in SID

Nationwide Emergency
Department Sample

(NEDS)

Emergency department data (treat and release & admitted) from a sample of hospitals in SID and SEDD



AHR 2012 NIS Redesign



- The 2012 NIS was redesigned to improve national estimates with reductions in sampling error, and to enhance data confidentiality.
- To highlight the design change, beginning with 2012 data, AHRQ renamed the NIS from the "Nationwide Inpatient Sample" to the "National Inpatient Sample"

2012 NIS is a Stratified Sample **AHR** of Discharges from the SID



2012 **State** Inpatient **Databases** (SID)

~ 4,380 hospitals ~ 34.3M records

Strata

- U.S. Division
- **Urban/Rural** Location
- **Teaching Status**
- Ownership/Control
- **Bed Size**

Stratified Sample of Discharges

*State not included in the stratum

Within strata sort by hospital, DRG, and admission month and select 1 in 5 records

2012 **National** Inpatient **Sample** (NIS)

 $N = \sim 4,380$ hospitals ~ 7.3M records





KID is a Stratified Sample of **AHR**® Discharges from the SID



2012 **State** Inpatient **Databases** (SID)

Stratified Sample of Discharges

*State not included in the stratum

2012 **Kids' Inpatient Database** (KID)

 $N = \sim 4K$ hospitals ~ 3M records

~ 4,380 hospitals ~ 34.3M records

•10% uncomplicated births

Strata

- Uncomplicated Births
- Complicated Births
- Pediatric Non-Births

•80% pediatric discharges





AHR HCUP NEDS Data





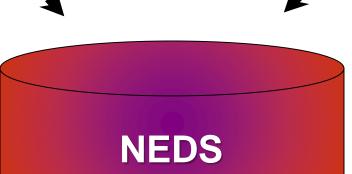
Treat-and-Release ED Visits



Admitted ED Visits



About 84% of ED visits are treat-and-release



About 16% of ED visits result in a hospital stay

NEDS is a Stratified Sample of Hospitals from the SEDD and SIDH-CUP

State
Inpatient
Databases
(SID)
State Emergency
Department
Databases
(SEDD)

Strata

- U.S. Region
- Urban/Rural Location
- Teaching Status
- Ownership/Control

Trauma center

Stratified Sample of Discharges

*State not included in the stratum



Nationwide Emergency Department Sample

(NEDS)

N = ~ 1K hospitals ~ 31M records

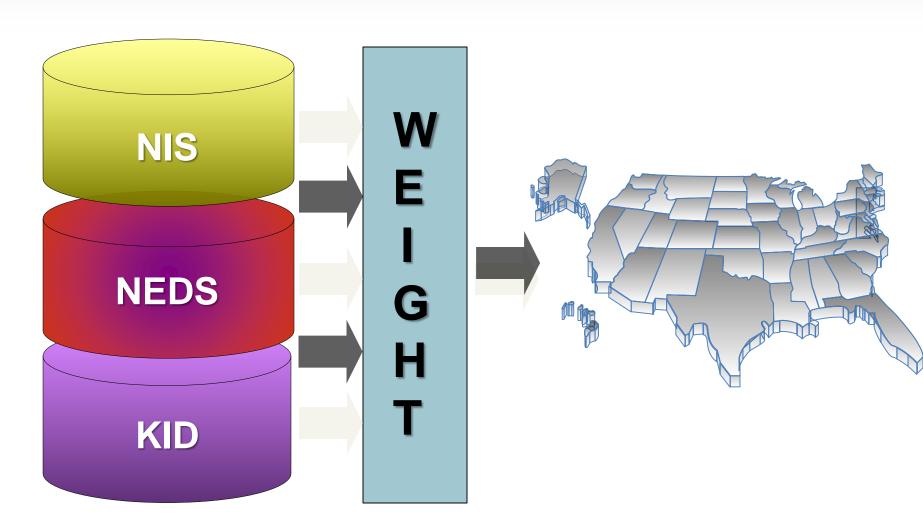
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NIS, NEDS, KID:

Must be Weighted to Produce National and Regional Estimates



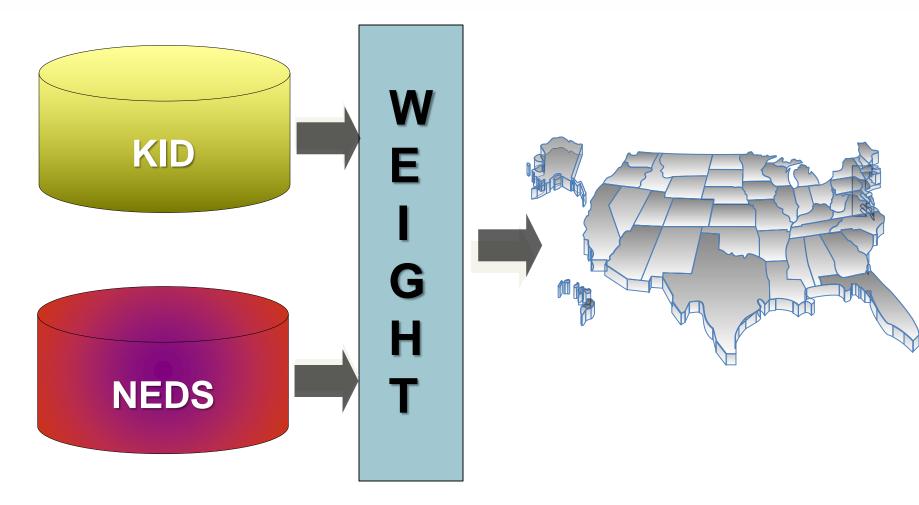




KID and NEDS:

Must be Weighted to Produce National and Regional Estimates







What Types of Care Are and Are Not Captured by HCUP?



| Included in HCUP | | | | |
|-------------------------------|---|--|--|--|
| Inpatient Care | State Inpatient Databases (SID) National (Nationwide) Inpatient Sample (NIS) Kids' Inpatient Database (KID) | | | |
| Emergency Department | State Emergency Department Databases (SEDD) Nationwide Emergency Department Sample (NEDS) | | | |
| Ambulatory Surgery & Services | State Ambulatory Surgery & Services Databases (SASD) | | | |

| Not Included in HCUP | | | | |
|-------------------------|--|--|--|--|
| Physician office visits | | | | |
| Pharmacy | | | | |
| Labs/Radiology | | | | |

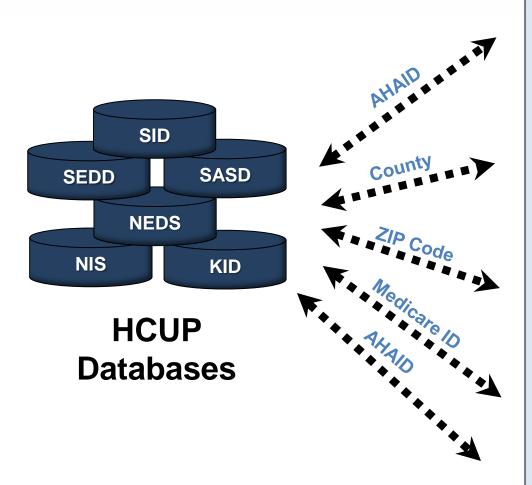




Some Limitations Can be

AHRS Addressed by Linking to Other **Databases**





American Hospital Association (AHA) Annual Survey

Health Resources and Services Administration's (HRSA) Area **Health Resource File (AHRF)**

Zip Code Files from Census or Vendor

Medicare Cost Reports

Trauma Information Exchange Program (TIEP)





| HCUP is | HCUP is NOT | | |
|---|--|--|--|
| Discharge database for health care encounters | A survey | | |
| All payer, including the uninsured | Specific to a single payer, e.g. Medicare | | |
| Hospital, ambulatory surgery, emergency department data | Office visits, pharmacy, laboratory, radiology | | |
| All hospital discharges | Only a sample | | |
| Accessible multiple ways: raw data, regular reports, online | Just another database | | |



Hospital Billing Data Have Benefits and Limitations



Benefits

Large number of visit records

Uniformity of coding

Routine, regular collection

Ease of access

All-payer

Available at local, state, regional and national level

Supplemental files available to facilitate research

Limitations

Differences in coding across hospitals

Limited clinical details

Lack revenue information

May not include all hospitals

May not show complete experience of care

No data on individuals in the primary care setting





- Six types of HCUP databases
- Databases are based on administrative hospital data: inpatient,
 ED, and ambulatory surgery and services
- Available for multiple years
 - National
 - o NIS (1988-2012)
 - o NEDS (2006-2012)
 - o KID (1997, 2000, 2003, 2006, 2009, 2012)
 - State
 - o SID (1990-2013)
 - SASD (1997-2013)
 - SEDD (1999-2013)
- Can look at breadth of health care issues
- Can be linked to external files





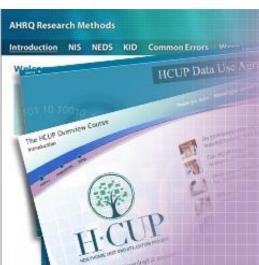
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AHRIC The HCUP Database Process



- Processed data sent to HCUP Partners
- State databases may become available to public through:
 - State Data Organization
 OR
 - HCUP Central Distributor





To Purchase HCUP Data Two Methods





Method 1 HCUP Central Distributor

www.hcupus.ahrq.gov/tech_assist/centdist.jsp

Method 2 HCUP Partner States

www.hcup-us.ahrq.gov/partners.jsp



Purchase Data Online Through AHR the HCUP Central Distributor



- Step 1: Take Data Use Agreement (DUA) online training http://www.hcup-us.ahrq.gov/tech_assist/dua.jsp
- Step 2: Login or register for an account http://www.hcup-us.ahrq.gov/tech_assist/centdist.jsp
- Step 3: Create your profile under "My Account"
- Step 4: Submit online order and complete further instructions listed on the "Thank You" page

For assistance, contact the HCUP Central Distributor:

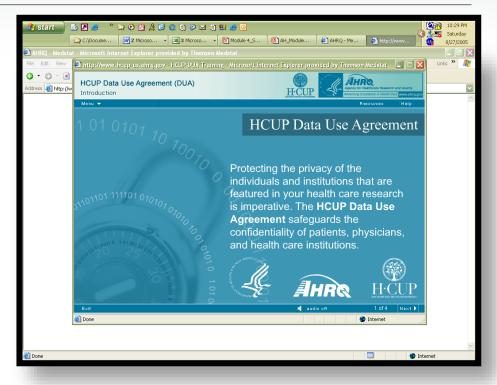
- Phone: 866-556-HCUP (4287) toll free
- Email: <u>HCUPDistributor@ahrq.gov</u>

Additional Requirement: Electronic Data Use Agreement (DUA)

• Purpose of the Course:

Course

- Emphasize the importance of data protection
- Reduce the risk of inadvertent violations
- Describe your individual responsibility when using HCUP data



Takes 15 minutes to Complete

http://www.hcupus.ahrq.gov/DUA/dua_interact ive_2014/index.htm



Pricing Information Per Data Year



Nationwide Databases (NIS, KID, NEDS)

- NIS: \$350 (2007-2012; student price \$50)\$160-200 (earlier years; student price \$20)
- KID: \$350 (2009 and 2012; student price \$50)\$200 (earlier years; student price \$20)
- NEDS: \$500 (student price \$75)



State Databases (SID, SASD, SEDD)

Varies by state, database, year, and type of applicant

\$35 - \$3,185





Software Requirements of Working with the Full HCUP Files



| Software Package | Load Programs | Format Programs | Example Statistical Coding | HCUP Tools Programs |
|---------------------|------------------|--------------------|----------------------------------|------------------------|
| Ssas. | X | X | X | X |
| STata | X | | X | X |
| SPSS* | X | | | X |
| (SUDAAN) | | | X | |



HCUPnet: Quick, Free Access to HCUP Data



Free online query system

Users generate tables of outcomes by diagnoses and procedures

Data can be cross-classified by patient and hospital

characteristics





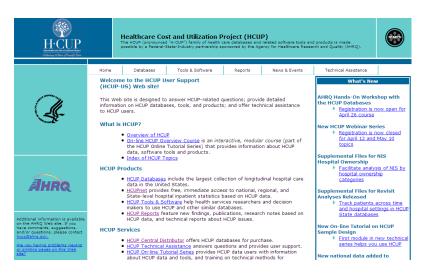
HCUP User Support Web Site



- Find detailed information on HCUP databases, tools, and products
- Access HCUPnet
- Find comprehensive list of HCUP-related publications,

database reports, and fact books

Access technical assistance



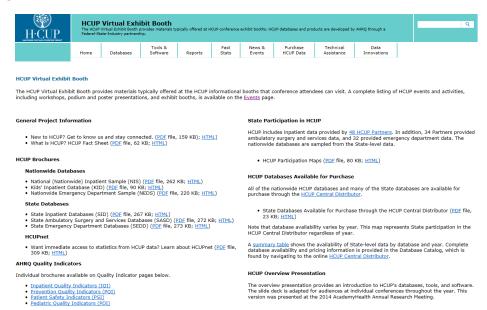
http://www.hcup-us.ahrq.gov



Visit HCUP's Virtual Exhibit Booth



- The HCUP Virtual Exhibit Booth provides materials typically offered at the HCUP conference exhibit booths.
- Includes brochures, participation maps, an overview presentation of HCUP, and additional information that provides general project information.



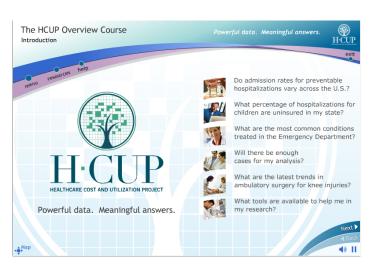


Interactive Online HCUP Overview Course Available



- Provides information about HCUP data, software tools, and products
- Length 90 min





http://www.hcup-us.ahrq.gov/overviewcourse.jsp



AHR HCUP Sample Design



- Tutorial explains the sampling strategy of the three nationwide databases – the NIS, KID, and NEDS
- Length 30 min







AHR Load and Check HCUP Data



- Provides instructions on how to unzip HCUP data, save it on your computer, and load data into a statistical software package
- Length 20 min







Producing National HCUP Estimates



 Explains how to produce national estimates from the three nationwide databases (NIS, NEDS, KID)

Length 45 min





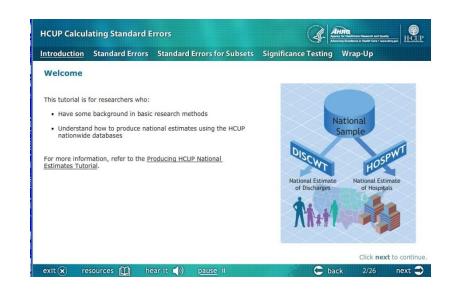


AHR Calculating Standard Errors



- Explains how to accurately determine the precision of the estimates produced from the HCUP nationwide databases
- Length 30 min







AHR Multi-Year Analysis



 Describe problems that may arise when using multiple years of HCUP data and provides solutions for

addressing these issues

Length 30 min







Healthcare Cost and Utilization Project Trend Analysis

HCUP Using Multiple Years

menu | resources | The avail | Supple | The avail |



Active Technical Assistance

- Responds to inquiries about HCUP data, products, and tools
- Collects user feedback and suggestions for improvement

E-mail: hcup@ahrq.gov

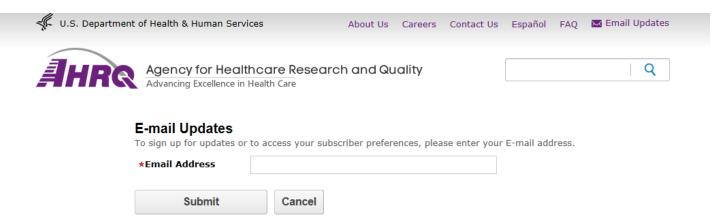




AHR Join the HCUP Email List



- HCUP Newsletter, published quarterly
 - User Tech Tips
 - Upcoming Events
- New Data Releases
- **New Reports**



HealthCare Cost and Utilization Project (HCUP)







AHR Questions/Comments?



Time for Questions and/or Comments.

